Publishing with Open Access

Are you considering publishing with Open Access? Learn how to get financial support from the University of Zurich & how to publish with an Open Access Publisher

Introduction and Overview
Christian Fuhrer, Main Library, University of Zurich

How the Main Library can support you when publishing with Open Access
Margit Kenzian, Main Library, University of Zurich

20 years of Open Access Publishing
Maria Schalnich, Communications & Marketing Manager
Martyn Rittmann, Chief Production Editor
MDPI

Join the Discussion!

Tuesday, October 20, 2015
2 - 4 p.m.
University of Zurich, Campus Irchel, Room Y 24 G 55
Winterthurerstrasse 190, 8057 Zurich
Open Access Week 2015

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Open Access means...

- Free and unrestricted access to qualified scientific works in the internet
- Ideally including the right to re-use, e.g. CC-BY licence
- Best basis for enhanced citation impact
- (increasingly) Open Access to research data: Open Data
Why Open Access?

- Open Access increases visibility and accessibility
  - Knowledge disseminates and multiplies better and faster
- Unleash the full potential of information and data exchange through internet technology
  - Text and Data mining
  - Network of publications and research data
  - Economy: access to information → innovation → growth
- Overproportional high increases in journal prices by big publishers (journal crisis – particularly in areas such as medicine or life science)
  - No library in the world can afford all journals
  - Dissemination of publications becomes restricted
- Results of publicly funded research (taxpayers) should be freely accessible
  - Important e.g. for doctors (private physicians, Hausärzte) and their patients
- Funders (SNF, EU Horizon 2020 etc.) increasingly commit their grant recipients to publish with Open Access
The Roads to Open Access

**Green Road to Open Access**

Publish in a licenced journal ("traditionally") - deposit in a repository (self-archiving)

Repositories:
Central (Discipline), e.g. PubMed Central
Institutional, e.g. ZORA

Zurich Open Repository and Archive

Welcome to ZORA
www.zora.uzh.ch

Do authors have the right to deposit with Open Access, and in what form?

Know about publishing contracts, author’s rights

**Gold Road to Open Access**

Publish in an Open Access journal / with an OA publisher

Quality of journal / publisher?
Who pays the OA publication fee (APC, article processing charge) and how high is it?

Hybrid journals
OA publication fee for article in licenced journal - only this article will be OA
Problem: double dipping - publisher can earn twice
Green Road to OA: publishing contracts, author’s rights

Core conditions in publishing contract for depositing in institutional repository

<table>
<thead>
<tr>
<th>Publisher</th>
<th>Accepted manuscript*</th>
<th>Publisher version</th>
<th>Comments</th>
</tr>
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<tbody>
<tr>
<td>Springer</td>
<td>12 months embargo</td>
<td>not allowed</td>
<td>for all journals</td>
</tr>
<tr>
<td>Elsevier</td>
<td>12-48 months embargo</td>
<td>not allowed</td>
<td>journal-specific embargoes</td>
</tr>
<tr>
<td>Wiley</td>
<td>12 (STM) or 24 (SSH) months embargo</td>
<td>not allowed</td>
<td>some journal-specific conditions</td>
</tr>
<tr>
<td>Nature Publishing Group</td>
<td>6 months embargo</td>
<td>not allowed</td>
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<tr>
<td>De Gruyter</td>
<td>12 months embargo</td>
<td>12 months embargo</td>
<td>for all journals</td>
</tr>
</tbody>
</table>

*last author version after peer review, no publisher layout (not galley proof)
Submit immediately after publication to ZORA
All embargo periods are taken care of by ZORA team
How the Main Library can support you when publishing with Open Access

Margit Kenzian
Main Library (Hauptbibliothek), University of Zurich
Open Access

+41 (0)44 635 41 62/65
oai@hbz.uzh.ch, zora@hbz.uzh.ch
Overview

- Publication Cycle
- Open Access Funding
  - Memberships@UZH
  - Open Access publishing fund for social sciences and humanities
- Further Funding outside the UZH
  - SNF Funding
  - FP7 Open Access Pilot | Open Access at HORIZON 2020
Publication cycle

Outcome of scientific research

Write work
Submit work
Review of work
Acceptance of work
Layout and editing
Publishing of work
Licensing of journals

$$$

Open Access Week 2015
Open Access Funding

Institutional memberships with Open Access Publishers

- Biomed Central/Springer Open
- Frontiers, Wiley Open Access, MDPI, SCOAP3-Initiative

Benefit due to licenses

- Mary Ann Liebert, RSC Publishing, American Chemical Society (ACS)

Open Access publishing fund for social sciences and humanities

Institutional memberships with Open Access-publishers
Biomed Central | Springer Open | Chemistry Central

- Open Access part of Springer
- UZH has shared support membership since 2012
- Discount of 20% on the regular article processing charges
- Remaining costs will be covered by the Main Library and by the submitting author (50:50) which makes a total saving of 60% for authors
- IP-recognition by the publisher if work was submitted by an UZH- or USZ-PC
- Compliance with guidelines will lead to a positive funding
Guidelines for BMC and Frontiers

- First or last author must be employed by the UZH or one of the hospitals or clinics associated with the UZH
- If not – then the submitting author as well as at least one third of all authors have to be employed by the UZH
- If adjunct professors (Privatdozierende) or senior lecturers (Titularprofessoren) – then at least one third of all authors must be employed by the UZH or USZ

Frontiers

- Journals in the fields of Science, Health, Engineering, Humanities and Social Sciences
- Valid for articles submitted after January 1st, 2015
- Drop-down menu during submission process
- Discount of 8% on regular article processing fee
- Remaining costs will be split by the Main Library and by the submitting author (50:50) which makes a total saving of 54% for authors
- Website: http://www.frontiersin.org/
Wiley Open Access & Hybrid Open Access

- Partnership deal since 2012
- Annual payment of the Main library enables a 15 % discount
- The discount is valid for all Wiley Open Access Journals as well as Wiley Hybrid Journals when publishing with Hybrid Open Access (OnlineOpen)
MDPI (Multidisciplinary Digital Publishing Institute)

- Swiss Open Access Publisher (Basel)
- Partnership since 02/2014
- About 120 Journals mostly from Sciences and Medicine, but also Humanities and Social Sciences
- **10% discount** on article processing charges
- **IP-recognition** by the publisher while submission from an UZH- or USZ-PC (can also be manually chosen if submission is not made from an UZH- or USZ-PC)
SCOAP3-Initiative

- Partnership of libraries, key funding agencies and research centers in more than 40 countries
- Initiative has started in 2014 at CERN
- Aim is the conversion of key journals in the field of High-Energy Physics to Open Access (e.g.: Elsevier, Hindawi, IOP, OUP, Springer ....)
- UZH pays the annual fee
- **Free of charge for authors from the UZH!**
- Result: All articles are Open Access with CC-BY licences which allow text- and datamining applications.
- Website: [http://scoap3.org](http://scoap3.org)
Benefit due to licenses of the Main Library
American Chemical Society (ACS)

- Journals in the field of Chemistry
- Due to licensing authors will get a discount
- Applying for discounts by performing a search of UZH during submission process
- Payment of $3'000 instead of $4'000 (saves 25 %)
- If additionally member of ACS then reduced price of $1'500 (saves 62.5 %)
- Website: http://www.acs.org
Mary Ann Liebert

- Publishes Journals in the fields of Science, Technology and Medicine
- During submission there is no automatic recognition of UZH- or USZ-authors
- Authors must inform the publisher actively about their affiliation to benefit from the reduced prices
- Price for publication $ 2’200 instead of $ 3’200
- Website: http://www.liebertpub.com/
Royal Society of Chemistry (RSC)

- Journals in the field of Chemistry
- Authors get a 15% discount on the current article processing fee
- Valid for all RSC Gold-Journals
- Additionally the UZH gets 12 Vouchers that enables publishing at no costs for the authors (RSC-Gold-for-Gold-Program) (first-come-principle)
- Just ask at the Main Library to receive a Voucher-Code (oai@hbz.uzh.ch)
- Fill in the Voucher-Code in the online formular
- Sign the a licence to publish (CC-BY or CC-BY-NC)
- Website: http://www.rsc.org/
Journals (1)

- **BMJ Case Reports (BMJ Publishing)**
  - Journal focuses on clinically important information on common and rare conditions
  - Free of charge for authors by entering a fellowship code during submission (Code requests: oai@hbz.uzh.ch)
  - Website: [http://casereports.bmj.com](http://casereports.bmj.com)

- **Nucleic Acids Research (Oxford University Press)**
  - 50% discount on Article processing charges (OA-Journal)
  - Follow the link during submission or submit paper from an UZH- or USZ-PC to receive the discounted price automatically
Journals (2)

- **Biochemical Journal and Clinical Science (Portland Press)**
  - If Open Access Option (Opt2Pay) is required then choose UZH during submission process
  - Discounted charges of $3'000 instead of $3'500

- **F1000Research (Open Access Journal)**
  - F1000Research is the Open Access-Journal of the platform F1000Research
  - Due to the license of the UZH to the database F1000 Prime → authors are eligible for a 10 % discount on article processing charges (APCs)
  - Website: [http://f1000research.com/](http://f1000research.com/)
Journals (3)

- **Proceedings of the National Academy of Science of the United States of America (PNAS)**
  - Weekly Journal of the National Academy of Science
  - Articles published in the fields of biology, physics as well as social sciences
  - Due to the current-year site license of the UZH authors pay $1’000 instead of $1‘350 on Hybrid Open Access
  - No automatic process applies; authors have to **inform the publisher actively** about the site-license of the UZH to get the reduced price
  - Btw: Six months after publication all PNAS-articles will be available online

Open Access publishing fund for Humanities and Social Sciences
Open Access publishing fund (1)

- **Fund** for social sciences and humanities
  - Runs since 2012
  - First come - first served - principle
  - Max. 2’000 CHF per author and year
  - Compliance with **guidelines**
    - Eligible are authors affiliated with the **Faculty of Law**, the **Faculty of Arts**, the **Faculty of Theology** and **Faculty of Economies** (criterion: social sciences and humanities)
    - The fund covers publications in articles in pure Open Access journals (e.g. listed in [DOAJ](https://doaj.org)), monographs, book chapters or edited scientific work (if entirely published Open Access)
Open Access publishing fund (2)

Workflow

- After acceptance of paper, authors can apply for funds
- Fill out the [application form](#) and send it to [oai@hbz.uzh.ch](mailto:oai@hbz.uzh.ch)
- Immediate information if the costs can be covered or not
- Payment of invoice
  - preferred payment by the institute or with credit card
- Send copy of the invoice and a copy of the publication to us (via e-mail)
- Include bank details for the reimbursement
- The Main Library will reimburse the costs
Further Funding outside the UZH
The SNSF requires all grantees to make their publications freely available

- By (1) self-archiving the publication in a repository no later than six months after the initial publication (24 months for book-publications)
- Or (2) by publishing in a pure Open Access format (payment of max. Fr. 3’000 per publication from the project budget)
- No payment of Hybrid Open Access costs

Publication grants (books) starting from 1 July 2014

- Fr. 5’000 (basic grant), Fr. 8’000 for doctoral theses and habilitations, Fr. 12’000 for a basic digital book publication, up to Fr. 22’000 for an enriched digital book publication
- Embargo period no longer than 24 months

SNSF-Guidelines (PDF), Website SNSF, New publication funding model
European Commission

- FP 7 post-grant Open Access Pilot
  - Valid for Gold Open Access costs from finished FP7 projects (check CORDA Database for eligibility)
  - Max. € 2‘000 for OA articles, book chapters, conference proceedings
  - Max. € 6‘000 for books
  - [Website Post-Grant OA Pilot, Further information](#)

- HORIZON2020-Projects (period 2014-2020)
  - Open Access-costs must be applied at the beginning of the project
  - Payment of Gold OA and Hybrid OA during project period
  - [Guidelines H2020; Fact Sheet OA in H2020](#)

André Hoffmann from the Main Library is the National Service Desk at OpenAire for further information andre.hoffmann@hbz.uzh.ch
Thank you!

Any questions at the moment?
20 Years of Open Access Publishing

Martyn Rittman, Ph.D. (Chief Production Editor)
Maria Schalnich, (Communication & Marketing Manager)

20.10.2015
Zurich
Outline

- History of MDPI
- Open access
- Editorial process
- Altmetrics
Outline

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- Open access
- Editorial process
- Altmetrics
History of MDPI

• Founded 1996
• Open Access (OA) only
• Three locations: Basel (headquarter), Beijing, Wuhan

in 1996: 20 years later:
Facts and Figures

<table>
<thead>
<tr>
<th>2,300,000</th>
<th>140</th>
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<tr>
<td>monthly readers’ page views of our open access articles</td>
<td>open access journals</td>
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<th>170,000</th>
<th>1,600,000</th>
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<td>unique academic authors*</td>
<td>PDF article downloads per month</td>
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* with at least one publication with MDPI
Facts and Figures

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<th>6,500</th>
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<td>articles published since foundation of MDPI in 1996</td>
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<table>
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<th>59,500</th>
<th>34%</th>
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<td>peer-review reports received in 2014</td>
<td>company growth in 2014*</td>
</tr>
</tbody>
</table>

*based on published papers in 2014
Facts and Figures

28 journals indexed in Web of Science

12,400 articles published in 2014
MDPI Stands for

🎓 Experience.
🎓 Academic community.
🎓 Service.
🎓 Quality.
MDPI is Member of

COPE

CASPA

DOAJ

STM

Crossref
Publication by Subject by Area

24% Chemistry & Materials Science
18% Medicine & Pharmacology
14% Biology & Life Sciences
11% Environmental & Earth Sciences
10% Physical Sciences
10% Engineering
5% Public Health & Healthcare
3% Social Sciences, Arts & Humanities
3% Business & Economics
2% Computer Science & Mathematics
Institutional membership program University Zurich

• Get 10% discount on the article processing charges (APC).

• More information: http://www.mdpi.com/about/memberships
Outline

• History of MDPI

• Open access

• Editorial process

• Altmetrics
About MDPI

• Creative Commons License (CC-BY)
  🎓 Freely accessible to readers; reused work must be cited

• Article Processing Charges (APC)
  🎓 Paid by authors and their institutions
## Open Access vs Subscription Model

<table>
<thead>
<tr>
<th>Open Access</th>
<th>Subscription (traditional) Model</th>
</tr>
</thead>
<tbody>
<tr>
<td>- Author pays, free to read</td>
<td>- Reader pays, free/low cost to author</td>
</tr>
<tr>
<td>- Online</td>
<td>- Print and online</td>
</tr>
<tr>
<td>- Peer-review</td>
<td>- Peer-review</td>
</tr>
<tr>
<td>- Author retains copyright, can freely re-use it, given proper attribution</td>
<td>- Publisher retains copyright, authors must ask for permission or pay to reuse their work</td>
</tr>
<tr>
<td>- Immediate publication after acceptance, faster</td>
<td>- Longer publication times due to print and limited pages per year</td>
</tr>
<tr>
<td>- Funded by publication fees</td>
<td>- Cost/price per article not transparent, paid mostly by subscriptions</td>
</tr>
</tbody>
</table>
What is in it for authors?

• Why do authors publish in OA?
  • Retain Copyright
  • Unlimited distribution & potentially more citations
  • Developing countries rarely have access to many subscription journals
  • Very few institutions have access to all subscription journals (hospitals, government agencies, private doctors)

• Who pays for high costs of subscriptions?
Outline

- History of MDPI
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Outline

• History of MDPI

• Open access

• Editorial process

• Altmetrics
Article Visibility

Researchers want their work to be read and cited.

- Indexing databases (WoS, Pubmed, Scopus)
- Related articles

Measured by:
- Good quality content
- Get the right people to read it

Measured by:
- Impact factor – Journal
- “Cited by” – Article

Cited By

- Citations registered in CrossRef as of 3 October 2015. The citation count might be different from Google Scholar or Web of Science.
- Zhang, W.; Li, Q.; Song, C.; Lee, L. Knockdown of autophagy-related protein 6, Beclin-1, decreases cell growth, invasion, and metastasis and has a positive effect on chemotherapy-induced cytotoxicity in osteosarcoma cells. Tumor Biology 2015, 36, 2631. [CrossRef]
- Dong, B.; Qiu, B. Shikonin Inhibits Invasiveness of Osteosarcoma through MMP13 Suppression. Tumor Biology 2015. [CrossRef]
Getting Research Read

Related Articles

- A firefly-inspired method for protein structure prediction in lattice models. Brian Maher et al., Biomolecules, 2014
- Reconstructing protein structures by neural network pairwise interaction fields and iterative decoy set construction. Claudio Mirabella et al., Biomolecules, 2014
- NMR spectroscopy metabolomic profiling of exhaled breath condensate in patients with stable and unstable cystic fibrosis. Paolo Montuschi et al., Thorax, 2011
- Further Corroboration of the Asthmogenicity of 5-Aminosalicylic Acid. IwRob, BMJ Blogs, 2010

www.mdpi.com, Blogs, Twitter, Google+, Facebook, personal contact...
Getting Research Read by Altmetrics

- Are download statistics reliable?
  - How do you exclude robots, multiple downloads, pages viewed in error, etc.
Article Level Metrics
Summary

• Who is your audience?
• How do you know if you reached them?
• What tools can you use to increase the impact of your work?
• What will the publisher do to help you?
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https://www.facebook.com/MDPIOpenAccessPublishing